IS 2150 / TEL 2810 Information Security & Privacy



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Security Policies
Confidentiality Policies

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Objectives

- Understanding/defining security policy and nature of trust
- Overview of different policy models
- Define/Understand existing Bell-LaPadula model of confidentiality
 - how lattice helps?
- Understand the Biba integrity model



Security Policies



Security Policy

- Defines what it means for a system to be secure
- Formally: Partitions a system into
 - Set of secure (authorized) states
 - Set of non-secure (unauthorized) states
- Secure system is one that
 - Starts in authorized state
 - Cannot enter unauthorized state



Confidentiality Policy

- Also known as information flow
 - Transfer of rights
 - Transfer of information without transfer of rights
 - Temporal context
- Model often depends on trust
 - Parts of system where information could flow
 - Trusted entity must participate to enable flow
- Highly developed in Military/Government



Integrity Policy

- Defines how information can be altered
 - Entities allowed to alter data
 - Conditions under which data can be altered
 - Limits to change of data
- Examples:
 - Purchase over \$1000 requires signature
 - Check over \$10,000 must be approved by one person and cashed by another
 - Separation of duties: for preventing fraud
- Highly developed in commercial world

Trust

- Theories and mechanisms rest on some trust assumptions
- Administrator installs patch
 - Trusts patch came from vendor, not tampered with in transit
 - 2. Trusts vendor tested patch thoroughly
 - 3. Trusts vendor's test environment corresponds to local environment
 - 4. Trusts patch is installed correctly



Trust in Formal Verification

- Formal verification provides a formal mathematical proof that given input i, program P produces output o as specified
- Suppose a security-related program S formally verified to work with operating system O
- What are the assumptions during its installation?



Security Model

- A model that represents a particular policy or set of policies
 - Abstracts details relevant to analysis
 - Focus on specific characteristics of policies
 - E.g., Multilevel security focuses on information flow control



Security policies

- Military security policy
 - Focuses on confidentiality
- Commercial security policy
 - Primarily Integrity
 - Transaction-oriented
 - Begin in consistent state
 - "Consistent" defined by specification
 - Perform series of actions (*transaction*)
 - Actions cannot be interrupted
 - If actions complete, system in consistent state
 - If actions do not complete, system reverts to beginning (consistent) state



Access Control

- Discretionary Access Control (DAC)
 - Owner determines access rights
 - Typically identity-based access control:
 Owner specifies other users who have access
- Mandatory Access Control (MAC)
 - Rules specify granting of access
 - Also called rule-based access control



Access Control

- Originator Controlled Access Control (ORCON)
 - Originator controls access
 - Originator need not be owner!
- Role Based Access Control (RBAC)
 - Identity governed by role user assumes



Confidentiality Policies



Confidentiality Policy

- Also known as information flow policy
 - Integrity is secondary objective
 - Eg. Military mission "date"
- Bell-LaPadula Model
 - Formally models military requirements
 - Information has sensitivity levels or classification
 - Subjects have clearance
 - Subjects with clearance are allowed access
 - Multi-level access control or mandatory access control



Bell-LaPadula: Basics

- Mandatory access control
 - Entities are assigned security levels
 - Subject has security clearance $L(s) = I_s$
 - Object has security classification $L(o) = I_o$
 - Simplest case: Security levels are arranged in a linear order $l_i < l_{i+1}$
- Example

Top secret > Secret > Confidential > Unclassified



"No Read Up"

- Information is allowed to flow up, not down
- Simple security property:
 - s can read o if and only if
 - $I_o \leq I_s$ and
 - s has discretionary read access to o
 - Combines mandatory (security levels) and discretionary (permission required)
 - Prevents subjects from reading objects at higher levels (No Read Up rule)



"No Write Down"

- Information is allowed to flow up, not down
- *property
 - s can write o if and only if
 - $I_s \leq I_o$ and
 - s has write access to o
 - Combines mandatory (security levels) and discretionary (permission required)
 - Prevents subjects from writing to objects at lower levels (No Write Down rule)

Example

security level	subject	object
Top Secret	Tamara	Personnel Files
Secret	Samuel	E-Mail Files
Confidential	Claire	Activity Logs
Unclassified	Ulaley	Telephone Lists

- Tamara can *read* which objects? And *write*?
- Claire cannot read which objects? And write?
- Ulaley can *read* which objects? And *write*?



Access Rules

- Secure system:
 - One in which both the properties hold
- Theorem:
 - Let Σ be a system with secure initial state σ_0 ,
 - Tbe a set of state transformations
 - If every element of T follows rules, every state σ_i secure
 - Proof induction



Categories

- Total order of classifications not flexible enough
 - Alice cleared for missiles; Bob cleared for warheads; Both cleared for targets
- Solution: Categories
 - Use set of compartments (from power set of compartments)
 - Enforce "need to know" principle
 - Security levels (security level, category set)
 - (Top Secret, {Nuc, Eur, Asi})
 - (Top Secret, {Nuc, Asi})



Lattice of categories

- Combining with clearance:
 - (L,C) dominates $(L',C') \Leftrightarrow L' \leq L$ and $C' \subseteq C$
 - Induces lattice of security levels
- Examples of levels
 - (Top Secret, {Nuc,Asi}) dom (Secret, {Nuc})?
 - (Secret, {Nuc, Eur}) dom (Topsecret, {Nuc, Eur})?
 - (Top Secret, {Nuc}) dom (Confidential, {Eur})?

Exercise: Hesse diagram for: compartments: NUC, US, EU;

Exercise: Hesse diagram for: Security levels: TS, S, C Compartments US, EU;



Access Rules

- Simple Security Condition: S can read O if and only if
 - S dominate O and
 - S has read access to O
- *-Property: S can write O if and only if
 - O dom S and
 - S has write access to O
- Secure system: One with above properties
- Theorem: Let Σ be a system with secure initial state σ_0 , T be a set of state transformations
 - If every element of T follows rules, every state σ_i secure



Communication across level

- Communication is needed between
 - Subject at higher level and a subject at the lower levels
 - Need write down to a lower object
- One mechanism
 - Subjects have max and current levels
 - max must dominate current
 - Subjects decrease clearance level



Read & write

Conventional use

- "Read" allowing information to flow from object being read to the subject reading
 - Read includes Execute
- "Write" allowing information to flow from the subject writing to the object being written
 - Write includes Append
- Could change based on the requirement and the model instantiated based on that.



Problem: No write-down

Cleared subject can't communicate to non-cleared subject

- Any write from l_i to l_k , $l_i > l_k$, would violate *-property
 - Subject at l_i can only write to l_i and above
- Any read from l_k to l_i , $l_k < l_i$, would violate simple security property
 - Subject at l_k can only read from l_k and below
- Subject at level l_i can't write something readable by subject at l_k
 - Not very practical



Principle of Tranquility

- Should we change classification levels?
- Raising object's security level
 - Information once available to some subjects is no longer available
 - Usually assumes information has already been accessed
 - Simple security property violated? Problem?



Principle of Tranquility

- Lowering object's security level
 - Simple security property violated?
 - The declassification problem
 - Essentially, a "write down" violating *-property
 - Solution: define set of trusted subjects that sanitize or remove sensitive information before security level is lowered



Types of Tranquility

- Strong Tranquility
 - The clearances of subjects, and the classifications of objects, do not change during the lifetime of the system
- Weak Tranquility
 - The clearances of subjects, and the classifications of objects, do not change in a way that violates the simple security condition or the *-property during the lifetime of the system



- DG/UX System
 - Only a trusted user (security administrator) can lower object's security level
 - In general, process MAC labels cannot change
 - If a user wants a new MAC label, needs to initiate new process
 - Cumbersome, so user can be designated as able to change process MAC label within a specified range



DG/UX Labels

- Lowest upper bound: IMPL_HI
- Greatest lower bound: IMPL_LO

_	A&A database, audit Administrative Region
Hierarchy levels	User data and applications User Region
VP-1	Site executables
VP-2	Trusted data Virus Prevention Region
VP-3	Executables not part of the TCB
VP-4	Executables part of the TCB
VP-5	Reserved for future use
	Categories

DG/UX

- Once you login
 - MAC label that of user in Authorization and Authentication (A&A) Databases
- When a process begins
 - It gets its parent's MAC label
- Reading up and writing up not allowed

DG/UX

- S:MAC_A creates O
 - If O:MAC_B already exists
 - Fails if MAC_B dom MAC_A
- Creating files in a directory
 - Only programs with the same level as the directory can create files in the directory
 - Problems with /tmp and /var/mail
 - Solution: use multilevel directory:
 - a directory with a subdirectory for each level (hidden)
 - If process with MAC_A creates a file put in subdirectory with label MAC_A
 - Reference to parent directory of a file refers to the hidden directory

DG/UX

- Provides a range of MAC labels
 - Called MAC Tuples: [Lower, Upper]
 - [(S, {Europe}), (TS, {Europe})]
 - **■** [(S, ∅), (TS, {Nuclear, Europe, Asia})]
 - Objects can have a tuple as well as a required MAC label
 Tuple overrides
 - A process can *read* an object if its MAC label grants it read access to the upper bound
 - A process can write an object if its MAC label grants it write access to any label in the MAC tuple range



Integrity Policies



Biba's Integrity Policy Model

- Based on Bell-LaPadula
 - Subject, Objects have
 - Integrity Levels with dominance relation
 - Higher levels
 - more reliable/trustworthy
 - More accurate



Biba's model

- Strict Integrity Policy (dual of Bell-LaPadula)
 - s can read o ↔ i(s) ≤ i(o) (no read-down)
 Why?
 - s can write $o \leftrightarrow l(o) \leq l(s)$ (no write-up)
 - Why?
 - s_1 can execute $s_2 \leftrightarrow l(s_2) \leq l(s_1)$
 - Why?



Low-water-mark

- Low-Water-Mark Policy
 - s can write $o \leftrightarrow l(o) \leq l(s)$
 - Why?
 - $s \text{ reads } o \rightarrow i'(s) = min(i(s), i(o))$
 - i'(s) is the integrity level of s after "read" op
 - Why?
 - s_1 can execute $s_2 \leftrightarrow l(s_2) \leq l(s_1)$



Summary

- Trust assumptions should be properly understood
- Lattice structure provides basis for representing information flow or confidentiality policies
 - Need to know